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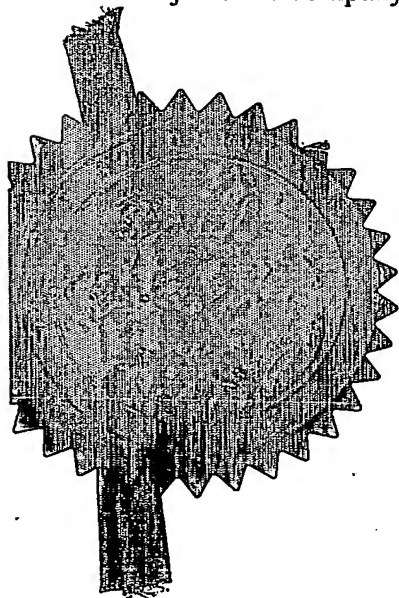
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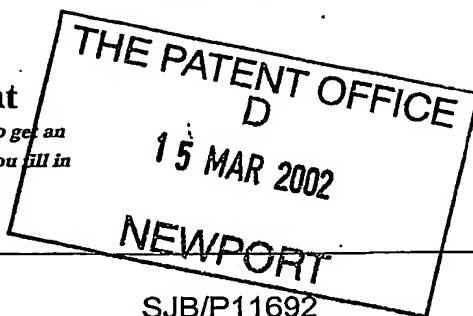
*Stephen Hordley*

Dated 9 April 2003

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1. Your reference

SJB/P11692

2. Patent application number

(The Patent Office will fill in this part)

0206131.5

15 MAR 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)

DePuy International Limited  
St Anthony's Beeston  
Beeston  
Leeds - LS11 8DT

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

6004733002  
England

4. Title of the invention

A Surgical Navigation Tool

5. Name of your agent (if you have one)

Urquhart-Dykes & Lord

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Tower House, Merrion Way  
Leeds  
LS2 8PA

Patents ADP number (if you know it)

1644004

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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
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# Patents Form 1/77

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Continuation sheets of this form

Description 7

Claim(s) 2

Abstract

Drawing(s) 2

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date

*S J Belcher* 14 Mar 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

S J BELCHER - 0113 245 2388

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# A SURGICAL NAVIGATION TOOL

This invention relates to a surgical navigation tool.

It can be necessary in surgical procedures to drill a hole in a bone. The drilled hole might be used to fasten an implanted component or an instrument to the bone. It can be important that the location and orientation of the drilled hole is accurately controlled, for example to avoid damage to surrounding tissue. It can also be important to ensure proper positioning (for example location and alignment) of the component or instrument that is to be attached to the bone.

It is known for example to attach implanted components to a patient's spinal column using screws which are inserted into holes drilled in the pedicle. Such components can be used for example to restrict or to prevent relative movement between vertebrae. The use of screws has advantages over other fixation techniques, for example using hooks, because of the greater control that is available over the location of the components, both during and immediately after the surgical procedure, and also in the longer term. However, accurate location of the hole in the pedicle is fundamentally important in order to avoid damage to CNS tissue.

It is common to plan a surgical procedure using images of the patient's tissue, for example a CT image. This can be used to identify the site for fixation of an implant or an instrument, including for example the location and orientation of holes that have to be drilled in a patient's bone. It is necessary then to move from the CT image data to locate the fixation site relative to the bone itself. In the case of fixation to a patient's spinal column, it is an established technique to test the location of a fixation site by forming a pilot hole in the pedicle (for example using a drill bit or a pedicle probe). The hole can then be probed to ensure that the pedicle wall has not been penetrated. However, accurate location of the hole relative to the patient's bone can require generation of image data, for example using X-ray or fluoroscopy techniques.

The present invention provides an instrument for locating an axis on or in relation to which an orthopaedic surgery procedure is to be performed, which comprises:

- a. a column member which comprises upper and lower parts of which the lower part can be fastened to a bone, the upper part having a mating surface at its lower end which defines a mating plane and the lower part having a mating surface at its upper end which defines a mating plane, the upper and lower parts being connected to one another with the mating surfaces in contact with one another in such a way that the upper and lower parts can be rotated relative to one another about an axis which is perpendicular to the mating planes, the axis being non-parallel to the axes of the upper and lower parts of the column member,
- b. a guide having an opening extending through it, which is fastened to the upper part of the column member so that it extends transversely relative to the upper part of the column member and can be moved relative to the upper part of the column member so as to change the distance between the opening and the point at which the guide is fastened to the upper part of the column member.

The tool of the present invention has the advantage that it allows an axis to be located accurately relative to a patient's bone accurately and simply. The two parts of the column member provide a simple mechanism for determining the orientation of the axis, and the movement of the guide relative to the upper part of the column member allows selection of the distance of the axis from the column member.

Preferably, the guide comprises a plate which has at least first and second openings extending through it, the distance from the point at which the plate is fastened to the upper part of the column member to the first opening being greater than the distance from the point at which the plate is fastened to the upper part of the column member to the second opening. For example, the plate can have a plurality of openings extending through it, arranged on a helical line around the point at which the plate is fastened to the upper part of the column member. The plate can have the configuration such as would result from it being formed from a continuous sheet of material. However, the plate can have other configurations, for example comprising a plurality of spokes in which the openings are

provided. The spokes can be formed from a continuous sheet of material. However, the plate can be formed from more than one piece of material: for example, when the plate comprises a plurality of spokes, the spokes can be provided by different pieces of material which are joined together to form the plate.

The guide component of the instrument can be an arm which has an opening in it. Preferably, the length of the arm can be varied, for example by means of two telescoping parts. The length of the arm can be controlled by means of a driver which moves the parts relative to one another, for example by means of a stepping motor.

Preferably, the guide can be rotated relative to the upper part of the column member, about the point at which the guide is fastened to the upper part of the column member. It is particularly preferred that the tool includes a motor for rotating the guide relative to the upper part of the column member.

Preferably, each of the mating surfaces of the upper and lower parts of the column member is planar. However, the surfaces might be non-planar, for example to make the engagement of the upper and lower parts of the column member more secure. For example, one of the mating surfaces might have a recess formed in it, and the other of the mating surfaces might have a protrusion which can fit into the recess. The mating planes that are defined by the surfaces will generally be defined by the edges of the surfaces, and will be perpendicular to the axis about which the parts rotate relative to one another.

Preferably, the angle between the axis of relative rotation and the axis of the upper part of the column member is the same as the angle between that rotation axis and the axis of the lower part of the column member. Preferably, the angle between the axis of relative rotation and the axis of the lower part of the column member is at least about  $5^\circ$ , more preferably at least about  $10^\circ$ , for example about  $15^\circ$ .

Preferably, the instrument includes a motor for rotating upper part of the column member relative to the lower part of the column member.

Preferably, the instrument includes a connector by which the lower part of the column member can be fastened to a bone. For example, the connector can comprise clamp parts which are configured to fit on to bone structures. Alternatively, the connector can be fitted to wires which are fastened to bone structures, for example by insertion into the spinal process in the case of a spinal application. Suitable clamp parts are known, for fitting to a patient's spinal column or to another bone structure. The lower part of the column member can be attached to the connector, preferably so that rotation of the lower part of the column member relative to the bone, around the axis of the lower part of the column member, is permitted. Preferably, the instrument includes a motor for rotating the lower part of the column member relative to the bone.

Preferably, the instrument of the invention includes at least one marker by which the tool can be located relative to the patient's bone. Generally, the instrument will include a plurality of markers, for example two, three or four markers. These can be used to locate the instrument relative to anatomical landmarks on the patient's bone, or relative to markers which have been implanted in the patient's bone. The nature of the markers will depend on the nature of the monitoring system which is being used to locate the instrument. For example, the markers can be selected to be visible using fluoroscopic techniques, or the instrument can have a shadow which is uniquely identifiable in the fluoroscopic image.

Preferably, the guide component of the instrument is made from a radio-translucent material so that it does not prevent the creation of images of the patient's bone. Other components of the instrument can also be made from radio-translucent material. However, it can be preferred for other components to be made from metals in some cases.

Preferably, one or more motors which are incorporated in the instrument are controlled using appropriate computer apparatus. For example, the motors might be stepping motors, controlled by appropriate pulse drive signals which are generated by a computer.

In another aspect, the invention provides a navigation system for locating an axis on or in relation to which an orthopaedic surgery procedure is to be performed, which comprises a navigation tool as discussed above. The system can include other components. For

example, it can include a cutting tool which can be used to cut the bone along an axis that is determined by the navigation tool. The cutting tool can be a drill. The cutting tool can fit through the opening in the guide when appropriately aligned relative to a patient's bone, to drill a hole in the bone. Alternatively, a separate guide can be positioned using the navigation tool of the invention, which is then used to align a drill or other cutting tool.

The navigation system of the invention can include a computer which is used to control the navigation tool, for example to provide drive signals to motors by which components of the navigation tool are moved relative to one another. The navigation system can include sensors for determining the location of the navigation tool.

Embodiments of the present invention will now be described by way of example with reference to the accompanying drawings, in which:

Figure 1 is a side view of the navigation tool of the present invention.

Figure 2 is a plan view of the tool shown in Figure 1.

Figure 3 is an isometric view of a clamp which can be used to fasten the navigation tool to a patient's spinal column.

Figure 4 is a view of the navigation tool of the present invention positioned in relation to a patient's spinal column so as to enable the axis for a drilling step into the pedicle to be defined.

Referring to the drawings, Figure 1 shows a navigation tool 2 which comprises a column member having an upper part 4 and a lower part 6. The lower part 6 can be fastened to a clamp 8 which can be fitted to a patient's bone.

The tool 2 includes a guide plate 10.



The upper part 4 of the column member has a lower face which defines a mating plane 12, which is inclined to the axis 14 of the upper part at an angle of  $15^\circ$ . Similarly, the lower part 6 of the column member has an upper face which is inclined to the axis 18 of the lower part an angle of  $15^\circ$ . The lower face of the upper part and the upper face of the lower part are in face-to-face contact with one another on the mating plane.

The tool includes a guide plate 10 which is fastened to the upper part 4 of the column member at (or towards) its upper end. As shown in Figure 2, the guide plate has a series of openings 20 extending through it, arranged on the surface of the guide plate on a line 24 which follows a helical path around the centre of the plate.

The lower part 6 of the column member is able to rotate relative to the clamp 8. The guide plate 10 is able to rotate relative to the upper part 4 of the column member. The upper part 4 of the column member is able to rotate on the mating plane 12 relative to the lower part 6. Relative rotation between the upper and lower parts of the column member causes the angle between the axes 14, 18 to change, between  $0^\circ$  as shown in Figure 1 and  $30^\circ$  when the upper part has been rotated relative to the lower part through  $180^\circ$ . Motors are provided in the column member by which the relative rotation of adjacent parts of the navigation tool can be controlled. Signals for driving the motors in the column member can be generated using a computer having appropriate software. For example, when the motors are stepping motors, the control system can generate pulse signals to drive the motors.

Figure 3 shows a clamp 40 which is fastened to a patient's vertebra 42, and which provides a platform 44 on which the tool of the invention can be mounted. The precise form of the clamp is not important to the function of the tool. The clamp should provide a secure mounting platform which is located stably relative to the bone. Such clamps are known.

Figure 4 shows a vertebra 50 viewed along the spinal axis which has a navigation tool according to the present invention held in relation thereto in order to allow a drilling axis 52 to be identified so that a hole can be drilled into the patient's pedicle.

Use of the system of the present invention to define the axis for drilling into a patient's bone (for example the spinal pedicle) involves the following sequence of steps.

1. The location of a drill axis is determined in pre-operative planning using appropriate images of the patient's bone, so that the location of the axis is known relative to reference points on the patient's bone (which can be provided by implanted markers or by anatomical landmarks).
2. A small incision is made to allow access to the patient's bone.
3. The clamp for the navigation tool is fastened to the bone, for example by directly engaging the bone tissues, or by means of previously fixed wires.
4. The tool is positioned in a reference configuration.
5. The location and orientation of the tool is determined relative to implanted markers or anatomical landmarks on the patient's bone using appropriate inspection or scanning instrumentation. For example, a fluoroscopic imaging system could be used to generate two calibrated, stereoscopic, synchronized images to identify the location of the drill guide and the bone to which it is attached in 3 dimensional space. The trajectory of the drill can then be planned and the resultant axis and direction of the guide programmed from this plan. The orientation of the guide could then be positioned as described below.
6. Drive signals are supplied to the navigation tool of the invention, involving relative movement between (a) the upper and lower parts of the column member, (b) the lower part of the column member and the clamp, and (c) the upper part of the column member and the guide plate, so that one of the openings in the guide plate is positioned and oriented appropriately to define a drill axis extending into the patient's bone, consistent with that defined in the pre-operative planning.
7. A drill is used extending along the axis defined by the opening in the guide member to form a bore in the bone.

CLAIMS:

1. A navigation tool for locating an axis on or in relation to which an orthopaedic surgery procedure is to be performed, which comprises:

- a. a column member which comprises upper and lower parts of which the lower part can be fastened to a bone, the upper part having a mating surface at its lower end which defines a mating plane and the lower part having a mating surface at its upper end which defines a mating plane, the upper and lower parts being connected to one another with the mating surfaces in contact with one another in such a way that the upper and lower parts can be rotated relative to one another about an axis which is perpendicular to the mating planes, the axis being non-parallel to the axes of the upper and lower parts of the column member;
- b. a guide having an opening extending through it, which is fastened to the upper part of the column member so that it extends transversely relative to the upper part of the column member and can be moved relative to the upper part of the column member so as to change the distance between the opening and the point at which the guide is fastened to the upper part of the column member.

2. A tool as claimed in claim 1, in which the guide comprises a plate which has at least first and second openings extending through it, the distance from the point at which the plate is fastened to the upper part of the column member to the first opening being greater than the distance from the point at which the plate is fastened to the upper part of the column member to the second opening.

3. A tool as claimed in claim 2, in which the plate has a plurality of openings extending through it, arranged on a helical line around the point at which the plate is fastened to the upper part of the column member.

4. A tool as claimed in claim 1, in which the guide can be rotated relative to the upper part of the column member, about the point at which the guide is fastened to the upper part of the column member.

5. A tool as claimed in claim 4, which includes a motor for rotating the guide relative to the upper part of the column member.
6. A tool as claimed in claim 1, which includes a motor for rotating upper part of the column member relative to the lower part of the column member.
7. A tool as claimed in claim 1, which includes a connector by which the lower part of the column member can be fastened to a bone.
8. A tool as claimed in claim 7, in which the connector permits rotation of the lower part of the column member relative to the bone, around the axis of the lower part of the column member.
9. A tool as claimed in claim 8, which includes a motor for rotating the lower part of the column member relative to the bone.
10. A tool as claimed in claim 1, which includes at least one marker by which the tool can be located relative to the patient's bone.
11. A navigation system for locating an axis on or in relation to which an orthopaedic surgery procedure is to be performed, which comprises a navigation tool as claimed in claim 1, and a cutting tool which can be used to cut the bone along an axis that is determined by the navigation tool.

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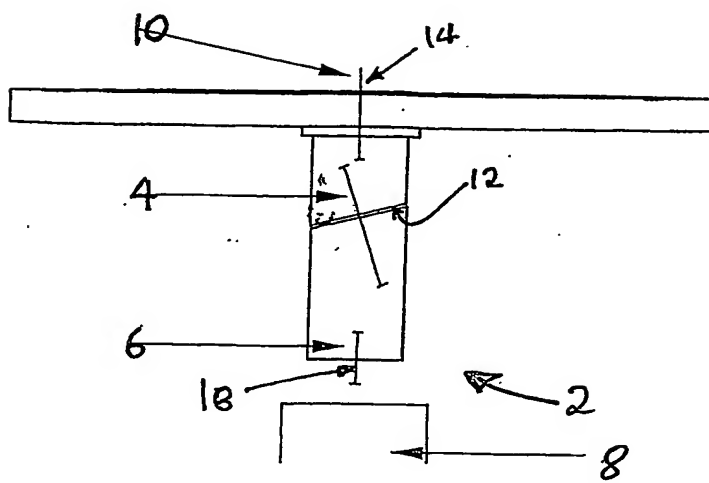


FIG 1

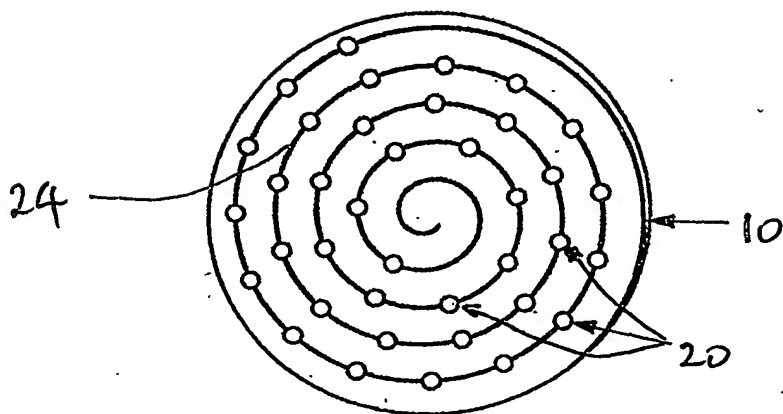


FIG 2

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FIG. 3

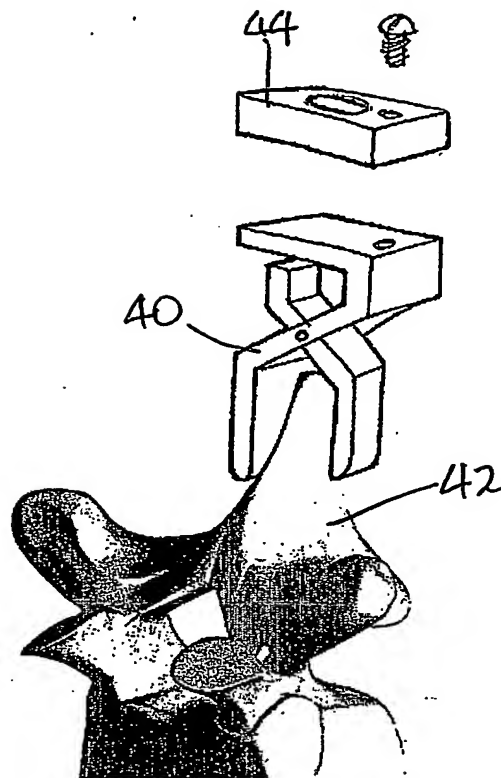
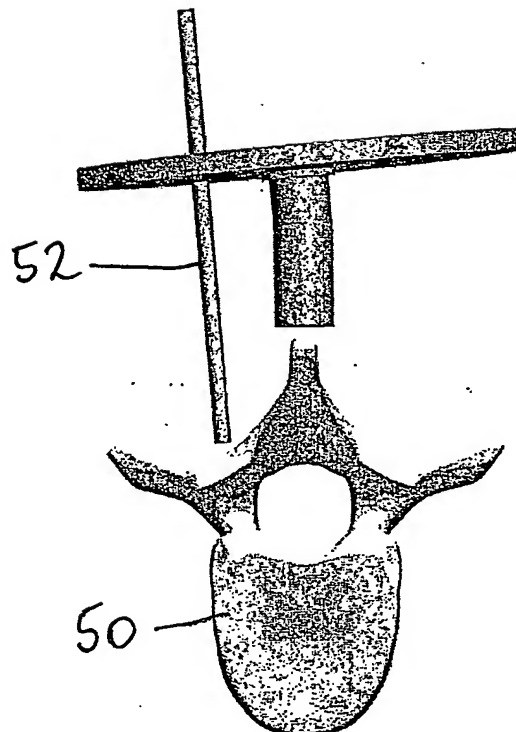


FIG. 4



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